

Application No.: **09581742**

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990. If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998)
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

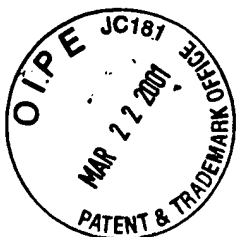
- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856  
Technical Assistance.....703-287-0200  
To Purchase PatentIn Software.....703-306-2600

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

RECEIVED  
MAR 26 2001  
TECH CENTER 1600/2900



Patent Docket No. P1548R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   |  |
|---|--|
| In re Application of<br><br>de Sauvage <i>et al.</i><br><br>Serial No.: 09/581,742<br><br>Filed: June 16, 2000<br><br>For: <i>Human Suppressor of Fused</i> | Group Art Unit: 1646<br><br>Examiner: M. Brannock<br><br><div style="border: 1px solid black; padding: 5px;"><p style="text-align: center;">CERTIFICATE OF MAILING</p><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Sequence, Commissioner of Patents and Trademarks, Washington, DC 20231 on</p><p style="text-align: right;">March 16, 2001</p><p style="text-align: right;"><i>Glory L. Tabuena</i><br/>Glory L. Tabuena</p></div> |
|---|--|

TRANSMITTAL

Box Sequence  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

Applicants respectfully enclose herewith the following documents:

1. Preliminary Amendment and Response to Notice to Comply with Requirements and Preliminary Amendment;
2. PTO Notice to Comply response form;
3. Certificate Re Sequence Listing Response Under 37 CFR §1.821(f) and (g);
4. Sequence Listing and computer-readable diskette; and
5. return postage-paid postcard.

The Commissioner is authorized to charge any additional fees due in connection with the filing of these documents to our Deposit Account No. 07-0630. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

GENENTECH, INC.

By: *Craig G. Svoboda*

Craig G. Svoboda

Reg. No. 39,044

Telephone: (650) 225-1489



09157

PATENT TRADEMARK OFFICE

RECEIVED  
MAR 26 2001  
TECH CENTER 1600/2900



Box Seq

W.D. Au 1646

Patent Docket No. P1548R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |   |
|--|---|
| re Application of<br>de Sauvage <i>et al.</i><br>Serial No.: 09/581,742<br>Filed: June 16, 2000<br>For: <i>Human Suppressor of Fused</i> | Group Art Unit: 1646<br>Examiner: M. Brannock<br><br><b>CERTIFICATE OF MAILING</b><br>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Sequence, Commissioner of Patents and Trademarks, Washington, DC 20231 on<br>March 16, 2001<br><br>Glory L. Tabuena |
|--|---|

CERTIFICATE RE: SEQUENCE LISTING  
RESPONSE UNDER 37 CFR § 1.821(f) and (g)

Commissioner of Patents and Trademarks  
Washington, DC 20231

RECEIVED

MAR 26 2001

TECH CENTER 1600/2900

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the information recorded in computer readable form is identical to the written sequence listing. I further state that this submission includes no new matter.

Respectfully submitted,

GENENTECH, INC.

Date: March 16, 2001

By:

Craig G. Svoboda

Reg. No. 39,044

Telephone No. (650) 225-1489



09157

PATENT TRADEMARK OFFICE

#88357

RECEIVED  
MAR 26 2001  
TECH CENTER 1600/2900